

Investment Application Form

31 Day Notice Winsec Notes Account (Self-Managed Superannuation Fund)

Prospectus No. 23



Win Securities Limited ACN 007 346 233

Australian Financial Services Licence No. 204901 A member of The Provincial Finance Group

I/We apply for Winsec Notes of WIN Securities Limited ACN 007 346 233 as detailed below on the terms and conditions contained in Prospectus Number 23 dated 27th November 2020 ("Prospectus No. 23") and on this Application Form. I/We declare that:

- all statements made by me/us are complete and accurate and agree to be bound by the provisions of the Trust Deed dated 1st December 1999, as amended from time to time;
- this application form was accompanied by a complete paper or electronic copy of Prospectus No. 23;
- I am an Australian resident/We are Australian residents and received Prospectus No. 23 within Australia; and
- I/we are aware that the notice period is the amount of time that has to elapse before transfer or withdrawal from my/our 31 Day Notice Account can be actioned. The notice period is 31 days, except in cases of hardship.

| 1. Applicant(s) | |
|---------------------|-----------------------|
| Mr/Mrs/Miss/Ms: | Surname: Given Names: |
| Mr/Mrs/Miss/Ms: | Surname: Given Names: |
| Company Name: | ABN: |
| Other Entity Name*: | |
| Address & Postcode: | |
| Email address: | Telephone Number: |

*Other entity being a partnership, firm or incorporated association (club)

| 2. Superannuation Fund Investment Details | | | |
|---|---------------|--------------------|-------------------|
| Interest Rate % p.a. | Interest Paid | Minimum Investment | Investment amount |
| 2.25 | Monthly | \$100 | |

The interest rate applied to Winsec Notes (Self Managed Superannuation Funds) is payable on the full investment balance. This Account applies only to Trustees of Superannuation Funds that are complying Superannuation funds. Complying Superannuation Funds will be required to provide their ABN and Tax File Number.

This interest rate is effective from 30th November 2020.

Interest is calculated daily and credited to the account monthly in arrears on the 28th day of each month or the next business day. Interest rates and investment terms may be varied by WIN Securities Limited at any time. Variations will only apply to applications lodged on or after the date of variation. Winsec Notes (Self Managed Superannuation Fund) interest rates may be varied at any time without notice to depositors. Applicants are advised to confirm with WIN Securities Limited that the Application Form is still current prior to completion. If the interest rate or investment period specified in any application made by an investor is out of date, application money may be refunded or dealt with on the terms set out in Prospectus No. 23.

Important Notice This application form must not be issued to any person unless accompanied by a complete copy of Prospectus No. 23 to which it relates. Prospectus No. 23 contains important information about investing in Winsec Notes. It is advisable to read Prospectus No. 23 in its entirety before applying for Winsec Notes. Winsec Notes will only be issued on receipt of an application form that was issued together with Prospectus No. 23. If a supplementary or replacement prospectus has been issued this application form cannot be used. You must use the form distributed with the supplementary or replacement prospectus instead. No Winsec Notes will be issued on the basis of this Prospectus after its expiry on 26th December 2021. While Prospectus No. 23 is current, Win Securities Limited will send, on request and free of charge, paper copies of Prospectus No. 23, any supplementary document and the application form. If you have registered a contact address with the Company, any supplementary or replacement prospectus will be sent to that address. You can check whether a supplementary or replacement prospectus has been issued by calling (03) 5721 5200 or sending an email to ajr@winsec.com.au. Application forms which do not specify an Australian address for service (or which are accompanied by payment drawn on a foreign bank account) will be rejected and returned unless the applicant provides evidence which satisfies WIN Securities Limited that the issue of the Winsec Notes (Self Managed Superannuation Fund) will not contravene the laws of any other jurisdiction.

Continue overleaf

3. Tax File Numbers

I/We authorise this/these Tax File Number(s) or Exemptions to be applied to this investment and any subsequent investment in my/our names(s). I wish to quote my/our Tax File Number(s), or claim the exemption below:

| Applicant Name | Tax File Number | Or Exemption Claimed |
|----------------|-----------------|----------------------|
| | | |
| | | |
| | | |

Investment held by a Superannuation Fund

| | | |
|---|------------------------------|-----------------------------|
| Please advise if you hold an overseas Tax File Number | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| - If yes please advise (i) Tax File Number _____ | (ii) Country _____ | |
| Please advise if you are a Politically Exposed Person | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| See Glossary in Prospectus for definition of Politically Exposed Person. | | |
| I/We authorise WIN Securities Limited to use my /our Tax File Number(s) already on file (please tick) | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

Collection of Tax File Numbers is authorised by the taxation laws and the Privacy Act and their use and disclosure are strictly regulated. Quotation is not compulsory but tax must be taken out of the interest payments at the top personal marginal rate plus the Medicare levy if you do not quote your Tax File Number or claim an exemption. Your Tax File Number will be applied automatically to all subsequent investments in your name unless you notify Win Securities Limited at any time that you do not wish your Tax File Number to be applied to a particular investment. For more information about the use of Tax File Numbers or available exemptions, please contact the Australian Tax Office.

4. Authority to Operate an Account (Joint Holders)

Please tick the appropriate box: Anyone to sign Any two to sign All to sign

If no election is made, all joint Winsec Note holders must sign all notices, requests or communications to WIN Securities Limited. If you elect any one to sign, WIN Securities Limited may act upon a notice request or communication signed by either Winsec Note holder.

5. Signature(s) by Applicant(s)

This Application Form must be signed by all the Applicants. All joint holders must sign. If the holder is a corporation, partnership, firm or incorporated/unincorporated association (club), the person(s) authorised by its constitution must sign and state the capacity in which they are signing (eg Director, Secretary) . If signed under power of attorney, the attorney states that no notice of cancellation of power has been received. The power of attorney must be produced if it has not been noted previously by Win Securities Limited.

Privacy consent and acknowledgment:

I/We have read and agree to the uses and disclosure of personal information about me/us and to the other matters set out in the separate Privacy Policy in Section 9 of Prospectus No. 23.

Full Name (and capacity if Applicable)

Signature

Full Name (and capacity if Applicable)

Signature

Full Name (and capacity if Applicable)

Signature

OFFICE USE

| | | | | |
|--------------|---|---|-----------------------|----------|
| Received | / | / | Account Number: | Operator |
| Process Date | / | / | Brokers Details-Name: | |

On completion forward this form together with cheque payable to:
WIN Securities Limited 37 Reid Street Wangaratta 3677 Phone 03 5721 5200 Email: info@winsec.com.au